



Month/Year of Review: January 2012

Generic Name: Rivaroxaban

PDL Class: No current PDL class

Preferred Anticoagulants: enoxaparin and dalteparin

Non-preferred Anticoagulants: fondaparinux, tinzaparin, rivaroxaban (pending) and dabigatran (pending)

No PDL-status/no restrictions: warfarin

End date of literature search: November 2011

Brand Name (Manufacturer): Xarelto (Janssen Pharmaceuticals)

Comparator Therapies: Enoxaparin and warfarin

FDA Approved Indications: Rivaroxaban is indicated for the prophylaxis of deep vein thrombosis (DVT) which may lead to pulmonary embolism (PE) in patients undergoing total knee replacement (TKR) or total hip replacement (THR) surgery. Rivaroxaban is also approved to reduce the risk of stroke in patients with non-valvular atrial fibrillation (AF).

Dossier received: Yes

Summary:

- Rivaroxaban is the first oral factor Xa inhibitor. Rivaroxaban differs from warfarin with respect to minimal food and drug interactions, absence of routine laboratory monitoring and quick onset of action.¹

Prophylaxis of DVT

- Rivaroxaban is dosed for prophylaxis of DVT as 10mg once daily without regard to food. Use cautiously in moderate renal impairment (CrCl 30-50 ml/min). Do not use in severe renal impairment (CrCl <30 ml/min) due to increased rivaroxaban exposure and pharmacodynamic effects in these patients. Monitor patients with moderate renal impairment (CrCl 30 to 50 mL/min) for signs or symptoms of bleeding. Avoid use in moderate or severe hepatic impairment or in hepatic disease with coagulopathy.

Nonvalvular Atrial Fibrillation

- Rivaroxaban is approved for atrial fibrillation, given as 20mg daily with the evening meal, for patients with CrCl >50 mL/min. For patients with CrCl of 15 to 50 mL/min a dose of 15 mg with the evening meal is recommended. Rivaroxaban is not recommended for a CrCl of <15 mL/min.

Efficacy and Safety Summary on FDA Approved Indications***Surgery Prophylaxis***

- Rivaroxaban approval for patients undergoing total hip replacement (THR) or total knee replacement (TKR) surgery was based on three large, prospective, randomized, double blind, double dummy trials.^{2,3,4} RECORD 2 and 4 are included in the evidence table, however, they were deemed poor studies based on FDA summaries on the quality of data.
- There was low-strength of evidence from RECORD 1 and 3 that rivaroxaban was similar to enoxaparin in regards to the component outcomes of all-cause mortality, symptomatic venous thromboembolism (VTE) and non-fatal pulmonary embolism. However, the use of the European enoxaparin dosing regimen of 40mg once daily in RECORD 3, limits the applicability of the results to patients in the United States where the recommended dosing regimen for DVT prophylaxis in patients undergoing TKR is 30mg twice daily.
- There was low-strength of evidence to suggest major bleeding rates were slightly higher for rivaroxaban compared to enoxaparin in both RECORD 1 and 3 studies, although not statistically significant.

Atrial Fibrillation

- ROCKET AF was a phase III, DB, DD, RCT in over 14,000 patients in 45 countries with nonvalvular atrial fibrillation who were at moderate to high risk of stroke. Patients were randomly assigned to rivaroxaban 20mg daily or dose-adjusted warfarin (INR 2.0-3.0). The primary efficacy analysis was the composite of stroke (ischemic and hemorrhagic) and systemic embolism.⁶
- There was low-strength of evidence from ROCKET AF that rivaroxaban was noninferior to warfarin for the composite outcome of stroke and systemic embolism (HR 0.88; 95% CI, 0.75 to 1.03; p<0.001 for noninferiority, p=0.12 for superiority). There was low-strength of evidence that major bleeding rates were similar between rivaroxaban and warfarin (HR 1.04; 95% CI, 0.90 to 1.20, p=0.58).
- Concerns over suboptimal warfarin use in ROCKET AF makes conclusions on relative efficacy and safety unknown. The short half-life of rivaroxaban combined with once daily dosing may be problematic if there are adherence concerns. There are concerns on how to best transition patients off of rivaroxaban as there was a noted increase in events after treatment discontinuation (see full prescribing information for transitioning patients from rivaroxaban to other anticoagulants).

Efficacy and Safety Summary of Off-label Uses***Acute DVT and Continuation Treatment***

- Rivaroxaban was studied in two poor quality studies for acute DVT and continuation treatment (EINSTEIN-DVT and EINSTEIN-EXTENSION). Currently, there is insufficient evidence to support rivaroxaban use for this indication and is not recommended until further data becomes available.

Acute Coronary Syndrome

- Most recently rivaroxaban was studied in patients presenting with Acute Coronary Syndrome (ACS) in the ATLAS ACS 2-TIMI 51. Patients were randomized to rivaroxaban 2.5mg twice daily, rivaroxaban 5mg twice daily or placebo, along with standard medical care for ACS.

There is moderate evidence to suggest rivaroxaban is better than placebo for this indication, however, there are no studies comparing the standard of care, aspirin and clopidogrel, to rivaroxaban. Doses used in this study are not currently available and use for this indication will need to be revisited in the future.

Cost Considerations:

Costs will be discussed in the executive session.

PDL Placement Recommendation:

There is low-strength of data to suggest that rivaroxaban is at least as effective as enoxaparin for prophylaxis of DVT in patients undergoing THR and TKR. The RECORD studies demonstrated efficacy favoring rivaroxaban, with the limitation of using the European dosing regimen in the one of the TKR studies, excluding high percentages of patients from analysis, and results being driven by asymptomatic DVTs. It is recommended that rivaroxaban be added to the PDL for this indication, after cost considerations are taken into account, and dose limitations should be applied.

There is one fair quality trial to demonstrating efficacy of rivaroxaban use in AF. Concerns over suboptimal warfarin use in ROCKET AF makes conclusions on relative efficacy and safety unknown. Efficacy relative to dabigatran has not been established. Warfarin is recommended as the first line agent for this indication.

BACKGROUND/CURRENT LANDSCAPE**FDA Approved Indication:Thromboprophylaxis after THR and TKR**

For patients undergoing THR or TKR prophylactic anticoagulants are considered standard practice. A recent guideline by the American Academy of Orthopaedic Surgeons gives a moderate recommendation for the use of prophylactic pharmacological agents for VTE prevention in those patients that are not at elevated risk. Due to insufficient evidence they are unable to recommend any particular preventative strategy or treatment duration.⁹ The American College of Chest Physicians (ACCP) Evidence-Based Clinical Practice Guidelines (CHEST) on antithrombotic and thrombolytic therapy recommends treatment with warfarin, LMWH, or fondaparinux for at least 10 days and up to 35 days for TKR and THR.¹⁰ Oregon Health Plan (OHP) fee-for-service FFS currently lists LMWHs, enoxaparin and dalteparin, as preferred, and fondaparinux (Arixtra®) and tinzaparin (Innohep®) as not preferred. Desirudin (Iprivask®) is not managed via PDL and currently has no utilization restrictions. In the previous six months approximately 200 patients received short term anticoagulation (<45 days) accounting for almost 200 prescription claims (there were no claims for dabigatran or rivaroxaban).

FDA Approved Indication: Atrial Fibrillation

Patients with AF are at a four to five-fold increased risk of stroke and systemic embolism compared to those without AF.¹¹ Anticoagulants are a key component to managing patients with AF that are at an increased risk of stroke from cardioembolic events. The CHADS₂ risk stratification scheme is recommend to estimate stroke risk in patients with AF based on: presence of heart failure, presence of hypertension, age ≥75 years, presence of diabetes mellitus, and a history of previous stroke or transient ischemic attack (Table 1).¹² The greater the number of risk factors present, the greater the risk of stroke. CHEST guidelines on antithrombotic and thrombolytic therapy recommend anticoagulation for patients with AF and suggest aspirin therapy for patients with up to one risk factor and treatment with a VKA for patients with one or more risk factors or in secondary prevention patients.¹⁰ The guidelines also recommends VKA therapy for patients with a CHADS₂ score of ≥2.

Table 1. CHADS₂ Classification Scheme for Stroke Risk¹²

	Risk Factor	Points
C	Congestive Heart Failure	1
H	Hypertension	1
A	Age ≥75 years	1
D	Diabetes	1
S ₂	History of stroke or TIA	2

Off-label Uses: Acute/Chronic DVT

Acute DVT treatment is an additional indication for anticoagulation. DVT is a serious medical condition that affects 1 in 1000 people and can lead to PE and related risk of morbidity and mortality.¹³ CHEST guidelines recommend initial treatment with LMWH, unfractionated heparin (UFH) or fondaparinux for at least 5 days to bridge the patients to effective warfarin therapy, which should begin on the first treatment day.¹⁰ Discontinuation of bridging should occur after the fifth day of therapy, provide the INR has been 2.0 or more for at least 24 hours. For patients with DVT or PE secondary to a reversible risk factor, the guidelines recommend treatment with warfarin for 3 months. Treatment recommendations for patients with unprovoked DVT or PE include warfarin for at least 3 months and up to a year or longer based on clinical judgment.

The VKA, warfarin, has served as the gold standard for oral anticoagulation and is a covered therapy, without restriction for OHP FFS patients. Approximately 350 patients utilized long term anticoagulation (>45 days), representing over 2,000 prescription claims within the last six months within the OHP population.

Off-label Uses: Acute Coronary Syndrome (ACS)

Antiplatelet drugs are used to prevent cardiovascular events and premature death in patients with multiple risk factors and in patients who have experienced Acute Coronary Syndrome (i.e. unstable angina, non-ST segment elevation myocardial infarction, or ST segment elevation myocardial infarction), transient ischemic attacks or thromboembolic stroke, or symptomatic peripheral arterial disease. Aspirin has been considered the gold standard. Aspirin is effective in reducing the occurrence of major cardiovascular events including death, recurrent myocardial infarction, recurrent angina, or progression to severe angina and nonfatal stroke. Several practice guidelines have been published that provide recommendations regarding the role of aspirin.^{14,15,16,17,18,19} Limited trials with factor Xa and IIa inhibitors have been studied in patients after an ACS showing promising results in reduction of cardiovascular events.

CLINICAL PHARMACOLOGY

Rivaroxaban selectively blocks the active site of factor Xa, without a cofactor requirement (such as Anti-thrombin III) for activity. Blocking the conversion of factor X to factor Xa (FXa) inhibits intrinsic and extrinsic pathways that play a main role in the blood coagulation cascade.¹

COMPARATIVE CLINICAL EFFICACY

Relevant Endpoints

All Studies: All-cause Mortality
 Major bleeding
 DVT: Recurrent VTE
 DVT Prophylaxis: PE
 Symptomatic VTE
 AF: Stroke
 ACS: Cardiovascular mortality
 Stent Thrombosis

Primary Study Endpoint:

RECORD 1-4: Composite of DVT, nonfatal PE and death
 ROCKET-AF: Composite of stroke and systemic embolism
 EINSTEIN-DVT and EINSTEIN-Extension: Recurrent VTE
 ATLAS ACS 2-TIMI 51: Composite of death from cardiovascular causes, MI, or stroke

Evidence Table

Ref./ Study Design ¹	Drug Regimens	Patient Population	N	Duration	Efficacy Results ²	ARR / NNT ³	Safety Results [^]	ARI / NNH ³	Quality Rating ⁴ ; Comments
RECORD 1²									
Eriksson B, et al Phase III, DB, RCT, PG, DD	1. Rivaroxaban 10mg QD (started after surgery) 2. Enoxaparin 40mg SQ QD (started evening before surgery)	Age: 63 yrs Female: 55% Prior VTE: 2.1-2.5% <u>Inclusion Criteria:</u> Scheduled for THR <u>Exclusion Criteria:</u> Bleeding, contraindications to anticoagulant use, pregnancy, lactation, severe liver or renal impairment, concomitant use of protease inhibitors or	1. n= 2209 2. n= 2224	Mean tx duration: 33 days F/U: 30-42 days after last dose	<u>Composite of DVT, nonfatal PE, or death:</u> R: 18 (1.1%) E: 58 (3.7%) RR 0.30 95% CI 0.12 to 0.51 <u>All-cause Mortality:</u> R: 5 (0.3%) E: 4 (0.3%) RR 1.22 95% CI 0.33 to 4.5 <u>PE:</u> R: 4 (0.3%) E: 1 (0.1%) RR 3.9	ARR 2.6% NNT 38 NS NS	<u>Major Bleeding:</u> R: 6 (0.3%) E: 2 (0.1%) RR 3.0 95% CI 0.61 to 14.9	NS	<ul style="list-style-type: none"> • Study Rating: Fair • Composite endpoint results driven by asymptomatic findings. • Large number of patients unaccounted for – sensitivity analysis states that missing data didn't effect power estimates • Symptomatic VTE similar in each treatment group (0.3% rivaroxaban and 0.5% enoxaparin) • Primary outcome was a composite endpoint including symptomatic and asymptomatic DVTs. The importance and clinical relevance of asymptomatic DVTs is unknown. • Per-protocol population was used for efficacy outcomes except symptomatic VTE which used mITT population.

		fibrinolytic agents			95% CI 0.44 to 35.0 <u>Symptomatic VTE:</u> R: 7 (0.3%) E: 15 (0.7%) RR 0.47 95% CI 0.19 to 1.15	NS	* Safety population used for above result		
RECORD2									
Kakkar A, et al Phase III, RCT, DB, DD	<p>1. Rivaroxaban 10 mg daily (started 6-8 hrs. after wound closure)</p> <p>2. Enoxaparin 40mg SQ QD (started 12 hours before surgery)</p>	<p>Age: 61 years Female: 53.7%</p> <p><u>Inclusion:</u> Scheduled for THR</p> <p><u>Exclusion:</u> See RECORD1</p>	<p>1. n= 864</p> <p>2.n= 869</p>	<p>Rivaroxaban Tx: Mean 33.5 days</p> <p>Enoxaparin Tx: Mean 12.4 days</p> <p>F/U: 30-35 days after last dose of medication</p>	<p><u>Composite of DVT + nonfatal PE or death</u> R: 17 (2.0%) E: 81 (9.3%) RR 0.21 95% CI 0.13 to 0.35</p> <p><u>All-cause Mortality:</u> R: 2 (0.2%) E: 8 (0.7%) RR 0.33 95% CI 0.07 to 1.7</p> <p><u>PE:</u> R: 1 (0.1%) E: 4 (0.5%) RR 0.25 95% CI 0.03 to 2.2</p> <p><u>Symptomatic VTE:</u> R: 3 (0.2%) E: 15 (1.2%) RR 0.20 95% CI 0.06 to 0.69</p>	<p>ARR 7.3%</p> <p>NNT 14</p> <p>NS</p> <p>NS</p> <p>ARR 1.0%</p> <p>NNT 100</p>	<p><u>Major bleeding</u> R: 1 (<0.1%) E: 1 (<0.1%) RR 1.0 95% CI 0.06 to 16.0</p> <p>NS</p>	<ul style="list-style-type: none"> • Study Rating: Poor • Composite endpoint results driven by asymptomatic findings • High number of excluded patients from MITT • Compared DVT events for 33 days of rivaroxaban versus 12 days for enoxaparin • Higher than expected invalidity rate for venograms – sensitivity analysis showed that didn't effect power • Increase number of cardiovascular events after rivaroxaban discontinuation 	

RECORD3 ⁴									
Lassen M, et al Phase III, RCT DB, DD	1. Rivaroxaban 10mg QD (started 6-8 hrs. after wound closure) 2. Enoxaparin 40mg SQ QD (started 12 hours before surgery)	Age: 67 years Female: 70% rivaroxaban / 66% enoxaparin <u>Inclusion Criteria:</u> Scheduled for TKR <u>Exclusion Criteria:</u> See RECORD1	1. n= 824	Tx duration: 10-14 days Venography: 11-15 days F/U: 30-35 days after last dose of tx	<u>Composite of DVT, nonfatal PE, or death:</u> R: 79 (9.6%) E: 166 (18.9%) RR 0.51 95% CI 0.39 to 0.65 <u>All-cause Mortality:</u> R: 0 (0%) E: 6 (0.5%) <u>PE:</u> R: 0 (0%) E: 4 (0.3%) <u>Symptomatic VTE:</u> R: 13 (1.1%) E: 27 (2.2%) RR 0.50 95% CI 0.25 to 0.94	ARR 9.2% NNT 11 NS NS NS	<u>Major Bleeds:</u> R: 7 (0.6%) E: 6 (0.5%) RR 1.2 95% CI 0.40 to 3.5	NS	<ul style="list-style-type: none"> Study Rating: Fair Utilized European dosing of enoxaparin 40mg daily for TKR Anticoagulant allowed during follow-up period Non-inferiority margin set at 4% Only 67% of population used in mITT analysis
			2. n=878						
RECORD 4 ⁵									
Turpie A, et al Phase III, DB, RCT, DD	1. Rivaroxaban 10mg QD (started 6-8 hrs. after wound closure) 2. Enoxaparin 30mg SQ BID (started 12 hours postoperatively)	Age: 64 years Female: Rivaroxaban 66% Enoxaparin 64% <u>Inclusion Criteria:</u> Scheduled for TKR <u>Exclusion Criteria:</u> See RECORD1	1. 965	Tx Duration: 10-14 days Follow-Up: 30-35 days after last dose	<u>Composite of DVT + nonfatal PE or death:</u> R: 67 (6.9%) E: 97 (10.1%) RR 0.67 95% CI 0.51 to 0.93 <u>All-cause Mortality:</u> R: 6 (0.1%) E: 6 (0.2%) RR 0.99 95% CI 0.32 to 3.1 <u>PE:</u> R: 5 (0.3%) E: 8 (0.5%) RR 0.62 95% CI 0.20 to 1.9	ARR 3.2% NNT 31 NS NS	<u>Major bleeding:</u> R: 10 (0.7%) E: 4 (0.3%) RR 2.5 95% CI 0.78 to 7.9	NS	<ul style="list-style-type: none"> Study Rating: Poor Composite endpoint results driven by asymptomatic findings Data deemed not reliable by FDA due to compliance deficiencies with study procedures Only 61% of patients eligible for primary endpoint analysis
			2. 959						

Investigators	once daily	Acute symptomatic DVT	2. 1718		95% CI 0.44-1.04 p<0.001		95% CI 0.33 to 1.30 p=0.21	NS	<ul style="list-style-type: none"> 73% in rivaroxaban group and 71% in the warfarin group were pretreated with parenteral anticoagulants Low dropout rates Only symptomatic DVTs evaluated
Phase III, RCT, Open-label, PG, non-inferiority study	2. Enoxaparin + either warfarin or acenocoumarol (vitamin K antagonist)	<p><u>Exclusion:</u> Additional VKA indication, CrCl <30 ml/min, significant liver disease, active bleeding uncontrolled HTN, pregnant/breastfeeding concomitant CYP-450 3A4 inhibitors</p>			<p><u>PE:</u> R: 3 E-VKA: 6</p> <p><u>All-cause mortality:</u> R: 38 (2.2%) E-VKA: 49 (2.9%) HR 0.67 95% CI, 0.33 to 1.30</p>				
EINSTEIN-Extension⁷									
The Einstein Investigators	1. Rivaroxaban 20mg daily	Age: 58 yrs Female: 41%/43%	1. 602	Tx duration: 6 or 12 months	<p><u>Recurrent VTE:</u> R: 8 (1.3%) P: 42 (7.1%) HR 0.18; 95% CI 0.09 to 0.39 p<0.001</p>	ARR 5.8% NNT 17	<p><u>Major Bleeding:</u> R: 4 (0.7%) P: 0 (0.0%) p=0.11</p>	NS	<ul style="list-style-type: none"> Study Rating: Poor 53% of patients from previous studies – bias results to those already able to tolerate therapy Placebo comparison limits clinical applicability Lost to follow-up rates low
Phase III, DB, PG	2. Placebo	<p><u>Inclusion:</u> objectively confirmed, symptomatic DVT or PE with 12 month prior treatment with warfarin or acenocoumarol</p> <p><u>Exclusion:</u> additional VKA indication, CrCl <30 ml/min, significant liver disease, active bleeding, uncontrolled HTN, pregnant/breastfeeding, concomitant CYP-450 3A4 inhibitors</p>	2. 594		<p><u>PE:</u> R: 1 P: 0</p> <p><u>All-cause mortality:</u> R: 1 (0.2%) P: 2 (0.3%)</p>				

ATLAS ACS 2-TIMI 51 ⁸									
ATLAS ACS 2-TIMI 51 Investigators	1. Rivaroxaban 2.5mg or 5mg twice daily	Mean age: 61 yrs Males: 74-75%	R 2.5mg 5174	Mean tx duration 13.1 months	<u>Composite of death from CV, MI or stroke:</u> R 2.5mg: 9.1% HR 0.84 95% CI 0.72 to 0.97 P= 0.007 P: 10.7%	ARR 1.6% NNT 63	<u>Major Bleeding:</u> R 2.5mg: 1.8% P: 0.6% HR 3.46 95% CI 2.08 to 5.77 P<0.001	ARI 1.2% NNH 83	<ul style="list-style-type: none"> • Study Rating: Fair • Increased major bleeds and intracranial bleeds but fatal bleeds similar • Younger population studied. Potential for increased risk of bleeding in elderly. • Premature discontinuation in 29.4% of rivaroxaban and 26.4% of placebo
RCT, DB, PC, Phase III	2. Placebo	<u>Inclusion:</u> patients 18 and over with symptoms of ACS and STEMI, NSTEMI, or unstable angina. Those <55 also had DM or previous MI. NSTEMI: 50% ea.group STEMI: 26% ea. Group Unstable angina: 24% ea. group <u>Exclusion:</u> Reduced platelets and hemoglobin levels, CrC <30ml/min, previous bleeding, previous stroke or TIA on ASA or thienopyridine Also on standard medical therapy including low-dose ASA and thienopyridine	R 5 mg 5176 Placebo 5176		R 5mg: 8.8% HR 0.85 95% CI 0.73 to 0.98 P=0.01 P: 10.7%	ARR 1.9% NNT 53	R 5mg: 2.4% P: 0.6% HR 4.47 95% CI 2.71 to 7.36 P<0.001	ARI 1.8% NNH 56	
					<u>CV Mortality:</u> R 2.5mg: 2.7% HR 0.66 95% CI, 0.51 to 0.86 P=0.005 P: 4.1% R 5mg: 4.0% HR 0.94 95% CI 0.75 to 1.20 P =0.57 P: 4.1%	ARR 1.4% NNT 71	<u>Intracranial Bleeds:</u> R 2.5mg: 14 (0.4%) HR 2.83 95% CI 1.02 to 7.86 P= 0.04 P: 0.2%	ARI 0.2% NNH 500	
					<u>Stent Thrombosis:</u> R 2.5mg: 2.2% HR 0.65 95% CI 0.45 to 0.94 P= 0.02 R 5mg: 2.3% HR 0.73 95% CI 0.51 to 1.04 P=0.04 P: 2.9%	ARR 0.7% NNT 143	<u>Fatal Bleeds:</u> R 2.5mg: 6 (0.1%) P: 9 (0.2%) HR 0.67 95% CI 0.24 to 1.89 P=0.45 P: 0.2%	ARI 0.5% NNH 200	
							R 5mg: 15		

					<p><u>All-cause mortality:</u> R 2.5mg: 320 (9.3%) P: 153 (4.5%) HR 0.68 95% CI, 0.53 to 0.87 P=0.004</p> <p>R 5mg: 321 (9.1%) P: 153 (4.5%) HR 0.95 95% CI, 0.76 to 1.19 P=0.89</p>		<p>(0.4%) P: 9 (0.2%) HR 1.72 95% CI 0.75 to 3.92 P= 0.20</p>	NS	
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¹**Study design:** DB = double-blind, RCT = randomized trial, PC = placebo-controlled, PG = parallel -group, XO = crossover, DD = double dummy.

²**Results abbreviations:** RRR = relative risk reduction, RR =relative risk, OR= Odds Ratio, HR = Hazard Ratio, ARR = absolute risk reduction, ARI = absolute risk increase
 NNT = number needed to treat, NNH = number needed to harm, CI = confidence interval, ITT= intention-to-treat analysis, mITT-modified intention-to-treat analysis

³**NNT/NNH** are reported only for statistically significant results

⁴**Quality Rating:** (Good- likely valid, Fair- likely valid/possibly valid, Poor- fatal flaw-not valid)

Clinical Abbreviations: TTR= time in therapeutic range, SQ-subcutaneous, STEMI – ST-segment elevation myocardial infarction, NSTEMI – non-ST-segment elevation myocardial infarction

Trial Details

DVT Prophylaxis

Rivaroxaban was approved for the prophylaxis of DVT and PE in over 9,500 patients undergoing total hip replacement (THR) and total knee replacement (TKR) based on data from four multi-center, RCTs; RECORD 1-4.^{2,3,4} RECORD 1 and 2 enrolled patients scheduled for THR and RECORD 3 and 4 included patients scheduled for TKR. Patients were treated for a mean duration of 33 days in RECORD 1 and 2, 10-14 days in RECORD 3 and 4. Patients were randomized to rivaroxaban 10 mg daily, starting after surgery, or enoxaparin, starting on the evening prior to surgery. Enoxaparin doses were 40mg sq daily for RECORD 1-3 and 30mg sq twice daily in RECORD 4. The primary efficacy analysis was the composite of DVT, nonfatal PE and death with the primary safety analysis being major bleeding. The primary efficacy analysis results were based on a Modified Intent to Treat (MITT) population which included 8,512 patients (67%) of the original study population.

There was low to moderate-strength of evidence from RECORD 1 that rivaroxaban was similar to enoxaparin in regards to the component outcomes of all-cause mortality and non-fatal pulmonary embolism. There was also low to moderate-strength of evidence that rivaroxaban was more effective than enoxaparin in regards to incidence of DVTs (ARR -2.7%; 95% CI, -3.7 to -1.7; p<0.001). In RECORD 3 there was also low-moderate strength of evidence for no difference in all-cause mortality and non-fatal pulmonary embolism rates between rivaroxaban and enoxaparin. There was low to moderate-strength of evidence that rivaroxaban was superior to enoxaparin in regards to DVTs (ARR -8.4%; 95% CI, -11.7 to -5.2; p<0.001). However, the use of the European enoxaparin dosing regimen of 40mg once daily in RECORD 3, limits the applicability of the results to United States patients where the recommended dosing regimen for DVT prophylaxis in patients undergoing TKR is 30mg twice daily. There was moderate-strength of evidence to suggest major bleeding rates were higher for rivaroxaban compared to enoxaparin in both RECORD 1 and 3 studies, although not statistically significant.

The Canadian Agency for Drugs and Technologies in Health (CADTH) recommend rivaroxaban as an effective treatment option for VTE prophylaxis after THR or TKR, as an alternative to enoxaparin, with no compelling evidence to suggest an increased incidence of adverse effects.²¹

RECORD 2 and 4 were deemed poor studies due to FDA analysis stating data was unreliable. RECORD 2 compared rivaroxaban to enoxaparin, using different durations of treatment, which limit the applicability of the efficacy conclusions.²⁰ One of two clinical investigators confirmed data from RECORD 4 was not considered reliable in support of the New Drug Application (NDA). Two additional clinical investigator inspections were conducted prior to the NDA submission, regarding RECORD 2 and RECORD 4, and found that data from both these sites were unreliable. After an analysis of all the RECORD studies, with removal of questionable data, the FDA found efficacy results favoring rivaroxaban, driven by asymptomatic DVTs detected by venography.

Atrial Fibrillation

ROCKET AF was a phase III, DB, DD, RCT in over 14,000 patients in 45 countries with nonvalvular atrial fibrillation who were at moderate to high risk of stroke. Patients were randomly assigned to rivaroxaban 20mg daily or dose-adjusted warfarin (INR 2.0-3.0). Participants had a median age of 73 years and 60% were male. The mean CHAD₂ score was 3.5 and 55% of participants had coexisting conditions. The median treatment duration was 590 days with 707 days of follow-up. The primary efficacy analysis was the composite of stroke (ischemic and hemorrhagic) and systemic embolism. A noninferiority analysis (margin of 1.46) was preformed on the per-protocol population. If noninferiority was achieved then noninferiority and superiority testing would be performed on the ITT population. The major safety endpoint was composite of major and nonmajor clinically relevant bleeding events. Major bleeds is reported as the more clinically relevant safety endpoint.⁶

There was low-strength of evidence from ROC KET AF that rivaroxaban was noninferior to warfarin for the composite outcome of stroke and systemic embolism (HR 0.88; 95% CI, 0.75 to 1.03; p<0.001 for noninferiority, p=0.12 for superiority). There was low-strength of evidence that major bleeding rates were similar between rivaroxaban and warfarin (HR 1.04; 95% CI, 0.90 to 1.20, p=0.58) and intracranial bleeds were less with rivaroxaban. TTR was only 55% for warfarin patients in ROCET-AF. Concerns over suboptimal use of warfarin make conclusions on comparable efficacy and safety difficult. There is insufficient evidence comparing rivaroxaban to well controlled warfarin management. The short half-life of rivaroxaban combined with once daily dosing may be problematic if there are adherence concerns.

Off-label Uses**DVT Treatment**

Rivaroxaban was studied in a phase III, parallel group, non-inferiority, open-label, RCT in over 3,400 patients with acute symptomatic DVT without PE in the EINSTEIN-DVT trial. Patients were randomized to rivaroxaban 15mg twice daily for 3 weeks and then 20mg once daily or enoxaparin and a vitamin K antagonist (warfarin or acenocoumarol) for 3, 6, or 12 months.⁷

There was low-strength of evidence that rivaroxaban was non-inferior to standard treatment (enoxaparin plus VKA) for the prevention of recurrent VTE in patients with acute DVT. The primary endpoint was experienced by 2.1% of the rivaroxaban group and 3.0% for the enoxaparin/VKA group (HR 0.68; 95% CI, 0.44-1.04; p<0.001 for noninferiority). There was low-strength of evidence of similar rates of major bleeding ,8.1% in both groups.

The EINSTEIN-EXTENSION study was a PC, double-blind, phase III continuation study in over 1,000 patients with a confirmed symptomatic DVT or PE previously treated with a VKA or rivaroxaban for 6 or 12 months (EINSTEIN-DVT, EINSTEIN-PE(ongoing)), that there was equipoise with respect to the need for continued anticoagulation. Patients were randomly assigned to rivaroxaban 20mg daily or placebo for an additional 6 or 12

months. The average patient was 58 years old with around 40% being female. The primary efficacy analysis was recurrent venous thromboembolism and major bleeding was the primary safety analysis.⁷

There is low-strength of evidence that rivaroxaban is more effective than placebo in preventing VTE with extended treatment (HR 0.18; 95% CI 0.09 to 0.39; $p < 0.001$). There is also low-strength of evidence that rivaroxaban causes more major bleeding than placebo. Extension study design may bias efficacy and safety results based on enrollment of patients already able to tolerate/respond to treatments.

Acute Coronary Syndrome

The ATLAS ACS 2-TIMI 51 study was a DB, PC, RCT involving 15,526 patients in 44 countries presenting with Acute Coronary Syndrome (ACS) and an ST-segment elevation myocardial infarction (NSTEMI), non-ST-segment elevation myocardial infarction (NSTEMI) or unstable angina. Patients were randomized to rivaroxaban 2.5mg twice daily, rivaroxaban 5mg twice daily or placebo, along with standard medical care for ACS. Patients were treated for a mean duration of 13.1 months. The primary efficacy endpoint was a composite of death from cardiovascular causes, myocardial infarction or stroke.⁸

There was moderate-strength of evidence that rivaroxaban 2.5mg decreased cardiovascular death, MI and all-cause mortality rates compared to placebo, being statistically significant for cardiovascular mortality and all-cause mortality. Rivaroxaban 5 mg twice daily decreased cardiovascular mortality and MI compared to placebo with moderate-strength of evidence, with only MI rates being statistically significant. There was moderate-strength of evidence that rivaroxaban 2.5mg and 5mg twice daily increased the risk of stroke, although neither were statistically significant. There was moderate strength of evidence that rivaroxaban increases the risk of major bleeds. There were also noted increases in intracranial bleeds, compared to placebo, in both rivaroxaban treatment groups, with a NNH of 500 and 200 in the 2.5mg and 5mg groups, respectively. The average age of study participant was 62 years old, which makes extrapolating results to a more elderly population difficult.

DRUG SAFETY

Serious (REMS, Black Box Warnings, Contraindications):

As with other anticoagulants, rivaroxaban may cause epidural or spinal hematomas in patients whom are receiving neuraxial anesthesia. Active major bleeding and hypersensitivity are contraindications to rivaroxaban therapy.¹

Rivaroxaban should be used cautiously in patients with bleeding disorders, severe hypertension, pregnancy and renal and hepatic impairment. Other serious adverse events described in post-marketing reports include cerebral hemorrhage, epidural hematoma, and hypersensitivity reactions including anaphylactic shock, agranulocytosis and Steven-Johnson Syndrome.^{1,20}

In patients with AF, discontinuing rivaroxaban treatment has put patients at increased risk of thrombotic events. In ROCKET AF an increased risk of strokes were seen following rivaroxaban discontinuation. Consider continuing patients on another anticoagulant if rivaroxaban is to be discontinued.¹

Bleeding: Rivaroxaban increases the risk of bleeding and can cause significant or even fatal bleeding in certain patients. The risk for bleeding increases when other drugs that also increase the risk of bleeding are used concurrently and was most common during the first week of surgery. Rivaroxaban should be used with caution in pregnant women due to pregnancy related hemorrhage.¹

In trials evaluating rivaroxaban for prophylaxis in patients undergoing hip or knee replacement bleeding was the most common adverse event. Major bleeding events were higher with rivaroxaban in the RECORD trials compared to enoxaparin, 0.39% vs. 0.21%, respectively.²⁰

Table 2.0 Bleeding Events in Patients Undergoing Hip or Knee Replacement Surgeries¹

Total Treated Patients in Record 1-3*	Rivaroxaban 10mg N= 4487 (n/%)	Enoxaparin 40mg Daily† N=4524 (n/%)
Major Bleeding Event	14 (0.3)	9 (0.2)
Fatal Bleeding	1 (<0.1)	0
Bleeding into a Critical Organ	2 (<0.1)	3 (0.1)
Bleeding that Required Re-operation	7 (0.2)	5 (0.1)
Extra-surgical site bleeding Requiring transfusion of >2 units of whole blood or packed cells	4 (0.1)	1 (<0.1)
Any Bleeding Event**	261 (5.8)	251 (5.6)

* Data from RECORD 4 excluded from pooled data

** Includes major bleeding events.

† Includes placebo-controlled period for RECORD2

Tolerability (Drop-out rates, management strategies):

Overall rivaroxaban was well tolerated with similar discontinuation rates as enoxaparin, 3.7% and 4.6%, respectively.

Pregnancy/Lactation rating:

Rivaroxaban is rated Pregnancy Category C. Dosing hasn't been studied in pregnant women and it should only be given if the potential benefit outweighs the risk to the mother and fetus.¹ Rivaroxaban was shown to be secreted into milk when studied in rats.

Unanswered safety questions:

The safety of taking rivaroxaban long term is unknown. There is no antidote for rivaroxaban in a bleeding emergency. Bleeding should be managed by holding rivaroxaban treatment and giving recombinant factor VIIa or activated prothrombin complex concentrate could be considered, although not studied. Prothrombin complex concentrate has been shown to be effective in a small population but additional data is needed.²² Protamine and vitamin K would not be expected to reverse anticoagulant effects of rivaroxaban.²³ Of concern was an increased incidence of cardiovascular events during the follow-up period in ROCKET AF in the rivaroxaban group compared to warfarin. This increase was thought to be due to a gap in protective effect during drug transition off of rivaroxaban and to warfarin after study completion.⁶

*Dose Index (efficacy/toxic):**DVT Prophylaxis*

For most patients the dose of rivaroxaban 10mg daily, without regards to meals is recommended. The initial dose should be taken 6-10 hours post surgery once hemostasis has been established. Treatment should continue for 35 days for patients undergoing hip replacement surgeries and 12 days for patients undergoing knee replacement surgeries.

Nonvalvular Atrial Fibrillation

Rivaroxaban is given as 20 mg with the evening meal, for patients with CrCl >50 mL/min. For patients with CrCl of 15 to 50 mL/min a dose of 15 mg with the evening meal is recommended. Rivaroxaban is not recommended for a CrCL of <15 mL/min.

Increased exposure to rivaroxaban was noted in elderly patients, which may be due to age related changes in renal function. Avoid using rivaroxaban in patients with a CrCl <30 mL/min. Patients with moderate renal failure (CrCl 30-<50 mL/min) should be observed closely for bleeding. Due to significant increases in rivaroxaban concentrations with impaired hepatic function, patients with moderate (Child-Pugh B), severe (Child-Pugh C) hepatic impairment or any hepatic disease associated with coagulopathy should not take rivaroxaban. For patients with renal insufficiency (CrCl 15-50 mL/min) taking rivaroxaban for AF the recommended dose is 15mg once daily, with the evening meal.

Rivaroxaban absorption is dependent upon the site of drug release in the GI tract. Drug delivery directly to the proximal small intestine (e.g., feeding tube) can result in reduced drug absorption.¹

Monitoring:

Dose –dependent inhibition of Factor Xa and in prothrombin time (PT), activated partial thromboplastin time (aPTT) and HepTest® are prolonged dose-dependently. Rivaroxaban also influences Anti-factor Xa activity.

Look-alike / Sound-alike (LA/SA) Error Risk Potential:

LA/SA names are assessed during the PDL selection of drugs. Based on clinical judgment and an evaluation of LA/SA information from four data sources (Lexi-Comp, USP Online LASA Finder, First Databank, and ISMP Confused Drug Name List), the following drug names may cause LASA confusion:

NME Drug Name	Lexi-Comp	USP Online	MicroMedex	ISMP	Clinical Judgment
LA/SA for rivaroxaban (generic)	None	None	None	None	None
LA/SA for Xarelto (brand)	None	None	None	None	None

Adverse Events Reported in $\geq 1\%$ of Rivaroxaban Treated Patients in RECORD trials 1-3¹

Adverse Events (1) (MedDRA System Organ Class and Preferred Term)	Rivaroxaban 10mg	Enoxaparin 40mg Daily†
	n (%)	n (%)
Number of Patients	n=4487	n= 4524
Injury, poisoning and procedural complications		
Wound secretion	125 (2.8)	89 (2.0))
Musculoskeletal and connective tissue disorders		
Pain in extremity	74 (1.7)	55 (1.2)
Muscle spasm	52 (1.2)	32 (0.7)
Nervous System Disorder		
Syncope	55 (1.2)	32 (0.7)
Skin and subcutaneous tissue disorders		
Pruritus	96 (2.1)	79 (1.8)
Blister	63 (1.4)	40 (0.9)

† Includes placebo-controlled period for RECORD 2

DOSE & AVAILABILITY¹

STRENGTH	FORM	ROUTE	FREQUENCY	RENAL ADJ	HEPATIC ADJ	Pediatric Dose	Elderly Dose	OTHER DOSING CONSIDERATIONS
Rivaroxaban 10mg for DVT prophylaxis prior to TKR or THR	Tablet	Oral	Once daily	DVT Prophylaxis: Use cautiously in moderate renal impairment (CrCl 30-50 ml/min) Do not use in severe renal impairment (CrCl <30 ml/min)	Avoid use in moderate or severe hepatic impairment or in hepatic disease with coagulopathy	N/A	Increased rivaroxaban concentrations were seen in elderly patients, perhaps due to age-related changes in renal function. Renal function assessment should be considered in patients ≥65 years old.	<ul style="list-style-type: none"> - Tx duration of 35 days recommended for hip replacement surgery - Tx duration of 12 days recommended for knee replacement surgery - Absorption is increased with food and is recommended for AF dosing
Rivaroxaban 20mg once daily for AF				Nonvalvular Atrial Fibrillation: CrCl 15-50 ml/min give 15mg once daily Avoid if CrCl 30-50 ml/min.				

PHARMACOKINETICS¹

Parameter	Result
Oral Bioavailability*	10mg dose: 80-100% (not affected by food)
	20mg dose: 66% (fasting), increases with food
Protein Binding	92-95%
Elimination	66% urine, 28% feces
Half-Life	5-9 hours
Metabolism	Oxidative degradation and hydrolysis via CYP3A4/5 and CYP2J2 Substrate of transporter proteins P-gp and ABCG2

* Dose-dependent absolute bioavailability

ALLERGIES/INTERACTIONS*Drug-Drug:*

Rivaroxaban is a substrate for CYP3A4, CYP2J2, and the P-gp and ATP-binding cassette G2 (ABCG2) transporters. Inhibitors or inducers of these enzymes/transporters may change rivaroxaban exposure. Concomitant use of rivaroxaban with drugs that are combined p-glycoprotein (P-gp) and strong CYP3A4 inducers (carbamazepine, phenytoin, rifampin, St.John's wort) should be avoided. Avoid rivaroxaban use with P-gp and strong CYP3A4 inhibitors (ketoconazole, itraconazole, lopinavir/ritonavir, ritonavir, indinavir/ritonavir and conivaptan) which significant increases in rivaroxaban exposure has been observed, which may increase bleeding risk.¹

When using rivaroxaban for prophylaxis of DVT, if data suggests a change in exposure is unlikely to affect bleeding risk (e.g., clarithromycin, erythromycin) then no precautions are necessary when using rivaroxaban concomitantly with P-gp and CYP3A4 inhibitors.

Rivaroxaban should not be used with other anticoagulants. Use with clopidogrel should be avoided unless the benefit outweighs the bleeding risk. Promptly evaluate any signs or symptoms of blood loss. No pharmacokinetic or pharmacodynamic interactions have been noted with naproxen or aspirin but safety of coadministration long-term has not been studied.¹

It is not recommended that rivaroxaban be used with NSAIDS due to an increased risk of bleeding. Caution is advised when treating patients concomitantly with aspirin, other platelet aggregation inhibitors or NSAIDS.¹

Food-Drug:

No food-drug interactions have been reported.¹

Allergy/Cross Reactive Substances:

In the RE-LY trial, drug hypersensitivity was reported in <0.1% of patients. No cross-sensitivities have been reported.¹ Postmarketing reports of anaphylaxis to rivaroxaban have occurred. Do not use in patients with severe hypersensitivity reactions to rivaroxaban.

**APPENDIX:
Suggested PA Criteria**

Oral Direct Factor Xa Inhibitors

Goal(s):

- Promote safe and effective therapies for oral direct factor Xa inhibitors.

Length of Authorization: 1 year

Covered Alternatives: Listed at; http://www.oregon.gov/DHS/healthplan/tools_prov/pdl.shtml

Approval Criteria		
1. Does the patient have a diagnosis requiring short-term (<45 days) anticoagulation (i.e. total knee replacement or total hip replacement)?	Yes: Yes: Approve for 12 days for TKR. Approve for 35 days for THR.	No: Go to #2
2. Does the patient have a diagnosis of nonvalvular atrial fibrillation?	Yes: Go to #3	No: Deny. (Medical appropriateness)
3. Will the prescriber consider a change to the preferred oral anticoagulant, warfarin?	Yes: Approve. Additional information can be found at: http://www.dhs.state.or.us/policy/health	No: Go to #4

<p>Message:</p> <ul style="list-style-type: none"> • Preferred products do not require PA for <4 days/week. • Preferred products have received evidence-based reviews for comparative effectiveness and safety by the Health Resources Commission (HRC). <p>http://www.oregon.gov/OHPPR/HRC/Evidence_Based_Reports.shtml</p>	<p>hplan/guides/pharmacy/clinical.html</p>	
<p>4. Is the patient unable to take preferred oral anticoagulants due to one of the following:</p> <ul style="list-style-type: none"> - unstable INR - allergy - contraindications to therapy - drug-drug interactions - intolerable side effects 	<p>Yes: Approve for up to 1 yr.</p>	<p>No: Deny. Recommend trial of preferred anticoagulants.</p>

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